

13

**FILING RECEIPT**  
**PLEASE DATE STAMP AND RETURN TO US - BOX 235X**

In re application of

HUMBERT, PATRICK, et al.

Appln. No.: 09/694,057

Confirmation No.: Not Assigned

Filed: August 7, 2000



Group Art Unit: Not Assigned

Examiner: Not Assigned

FAX RECEIVED

NOV 30 2001

PETITIONS OFFICE

For: APPARATUS FOR SUPPORTING A PREFORM AN INSTALLATION FOR  
MANUFACTURING OR BUILDING UP A PREFORM AND PROVIDED WITH  
SUCH APPARATUS, METHODS IMPLEMENTED IN SUCH AN  
INSTALLATION, AND A PREFORM MADE USING SUCH METHODS

PAPER(S) FILED ENTITLED:

Response to Notice of Incomplete Nonprovisional Application and  
Petition to Receive Filing Date of August 7, 2000  
(w/copies of Notice of Incomplete Nonprovisional Application,  
Transmittal Letter, Specification, Declaration, and date stamped Serial  
Number Postcard).

SUGHRUE, MION, ZINN,  
MACPEAK & SEAS, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3213  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

DOCKET NO.: Q60128  
ATTORNEY/SEC: HRH/bas

Date Filed: February 14, 2001

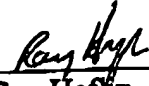
**RESPONSE TO NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION and  
PETITION TO RECEIVE FILING DATE OF AUGUST 7, 2000  
U.S. Application No. 09/649,057**

These documents show that Applicants' originally filed application papers included a specification, which was in fact received by the USPTO on August 7, 2000. For the foregoing reasons, Applicants respectfully petition the Commissioner to accord this application a filing date of August 7, 2000.

Pursuant to 37 C.F.R. § 1.17(i), please charge the petition fee of \$130.00 to our Deposit Account No. 19-4880. *If the Commissioner determines that the application is entitled to the August 7, 2000 filing date, then Applicants respectfully request a refund of the petition fee.* You are also directed and authorized to charge or credit any difference or overpayment to Deposit Account No. 19-4880. A duplicate copy of this response and petition and authorization is enclosed.

Respectfully submitted,

SUGHRUE, MION, ZINN,  
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2100 Pennsylvania Avenue, N.W.  
Washington, D.C. 20037-3213  
Telephone: (202) 293-7060  
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\_\_\_\_\_  
Ray Heflin  
Registration No. 41,060

Date: February 14, 2001

**PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

HUMBERT, PATRICK, et al.

Appln. No.: 09/649,057

Confirmation No.: Not Assigned

Filed: August 7, 2000

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Group Art Unit: Not Assigned

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**RESPONSE TO NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION and  
PETITION TO RECEIVE FILING DATE OF AUGUST 7, 2000**

Commissioner for Patents  
Washington, D.C. 20231

**FILED**

**FEB 14 2001**

Dear Sir:

Applicants received a Notice of Incomplete Nonprovisional Application indicating that a filing date has not been accorded to this application because the specification is missing. However, this indication is incorrect because the originally filed application papers included a specification.

Applicants submit copies of the following documents:

1. Notice of Incomplete Nonprovisional Application (mailed January 9, 2001);
2. originally filed Transmittal Letter;
3. originally filed Specification;
4. originally filed Declaration and Power of Attorney; and
5. Serial Number Postcard (bearing mail room stamp of August 7, 2000, and identifying the documents received by the USPTO).



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/694,057	08/07/2000	Patrick Humbert	

SUGHRUE, MION, ZINN  
MACPEAK & SEAS, PLLC  
2100 Pennsylvania Avenue, N.W.  
Washington, DC 20037-3213

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NOV 30 2001

PETITIONS OFFICE

## FORMALITIES LETTER



\*OC000000005665059\*

Date Mailed: 01/09/2001

## NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(i)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

- The specification is missing.  
A complete specification including at least one claim as prescribed by 35 U.S.C. 112 is required.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**REQUEST OF EARLY NOTIFICATION OF SERIAL NUMBER**

Inventor(s): Patrick HUMBERT, Pierre, RIPOCHE, Joel LANDI,  
Jacques GOUDEAU

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NOV 30 2001

Title: APPARATUS FOR SUPPORTING A PREFORM AN  
INSTALLATION FOR MANUFACTURING OR BUILDING UP A  
PREFORM AND PROVIDED WITH SUCH APPARATUS, METHODS  
IMPLEMENTED IN SUCH AN INSTALLATION, AND A PREFORM  
MADE USING SUCH METHODS

PETITIONS OFFICE

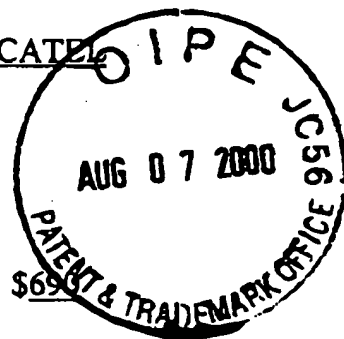
Atty Doc. #: Q60128 Client: COMPAGNIE FINANCIERE ALCATEL

Filing Date: August 7, 2000 # Pgs. Spec/Abst: 14/1 #Claims: 4

# Dwg. Sheets: 3 Decl COPY Prelim Amdt YES

IDS/Prior Art: In parent Pr Doc: In parent Asgmt: COPY Fee: \$696

☒ Check Attached ☐ Charge to Deposit # 19-4880 Atty/Sec: HRH:mb



SERIAL NO.: 09 694 057

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A large, stylized handwritten 'S' logo, which is part of the Sughrue Mion, PLLC branding.

FAX

Date November 30, 2001

To Mr. Paul Shanoski

Of U.S. Patent and Trademark Office

Fax (703) 308-8916

From Ray Heflin/Calene Curtis (202) 775-7579

Subject Response to Notice of Incomplete Nonprovisional Application

Our Ref Q60128 Your Ref 09/694,057

Pages 6  
(including cover sheet)

Please call attention to problems with this transmission by return fax or telephone. Thank you.

THE INFORMATION CONTAINED IN THIS COMMUNICATION IS CONFIDENTIAL, MAY BE ATTORNEY-CLIENT PRIVILEGED, AND IS INTENDED ONLY FOR THE USE OF THE ADDRESSEE. UNAUTHORIZED USE, DISCLOSURE OR COPYING IS STRICTLY PROHIBITED AND MAY BE UNLAWFUL. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE IMMEDIATELY NOTIFY US.

Mr. Shanoski: Attached is a copy of the Response to the PTO's Notice of Incomplete Nonprovisional Application including a date stamped filing receipt indicating filing thereof. We did not forward all the original submissions, as it would be lengthy. However, should you require further information, please do not hesitate to contact me or Mr. Ray Heflin of this firm.

We appreciate your assistance and hope to hear from you in regards to this matter.

*Call at the  
spec. do not  
in the lab*